

ON THE USE OF OPTICAL WAVEGUIDES IN HYBRID PHOTOVOLTAIC SOLAR THERMAL ENERGY CONVERTERS

**Scott Shepard
University of Central Florida**

A Solar Energy Converter With
Improved Photovoltaic
Efficiency, Frequency
Conversion and Thermal
Management Permitting Super
Highly Concentrated Collection

OVERVIEW

- Principles of Operation
- Demonstrated Improvement Factors
 - over concentrated PV
 - over full sky ambient PV
- Demonstrated Heat Transfer
 - and thermal distribution advantages
- Manufacturability

PRINCIPLES OF OPERATION

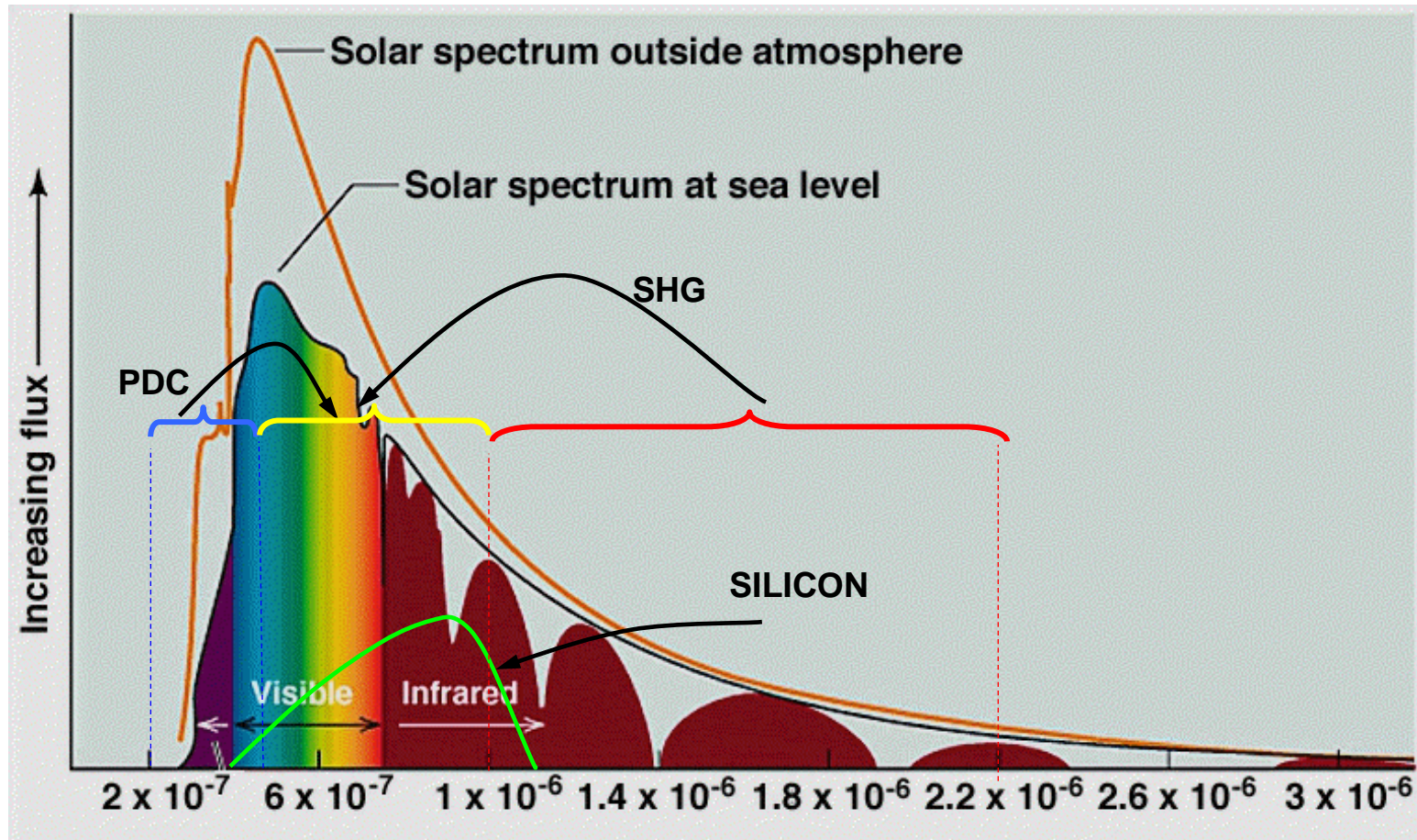
Phase One = filtering

efficiency improvement and thermal distribution
ready for deployment

Phase Two = frequency
conversion

ready for deployment in 2 years
can retrofit into phase one modules

Phase Two – Frequency Conversion



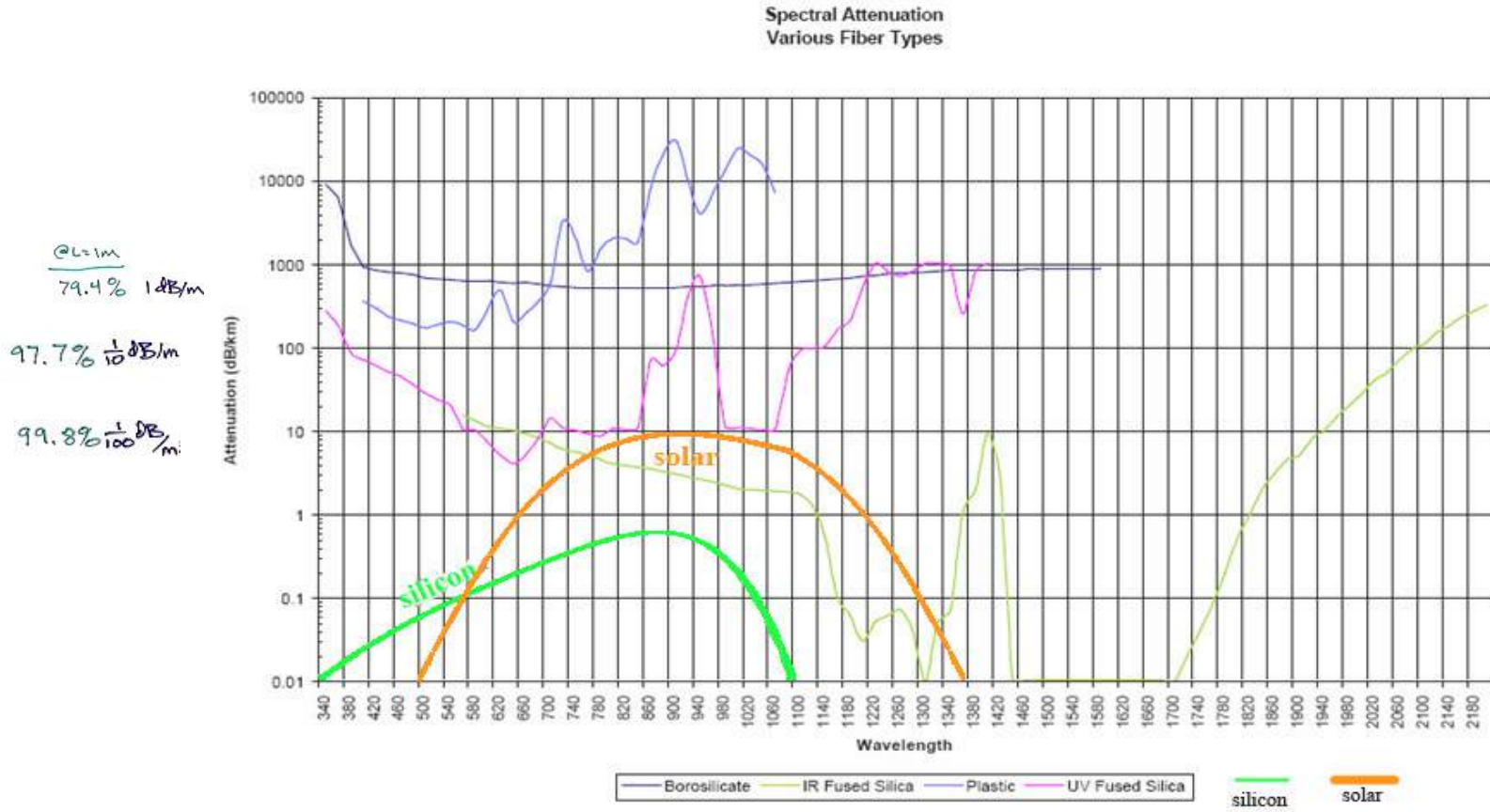
for silicon 25% → 40%

for InGaAs 20% → 60%

Phase One – Filtering and Thermal Distribution

- Plastic is cheaper than silicon, so why not...
 - problem is you're also concentrating the UV and IR sources of heat
- A variety of filtering technologies have been analyzed
 - the use of chromatic aberration (in a lens, prism, etc.)
 - multilayer dielectric filters
 - optical fiber; and optical rod waveguides
- **Optical waveguides/fiber are useful filters with thermal distribution advantages**
- As a filter, the response evolves as a function of the length of the guide
 - this shape can be optimized for the application
- The thermal advantages are several
 - efficiently couple heat to almost any solar thermal technology – great flexibility
 - potential for heat transfer efficiencies that could approach 100% simply by making them longer (as low loss, low cost, options exist).

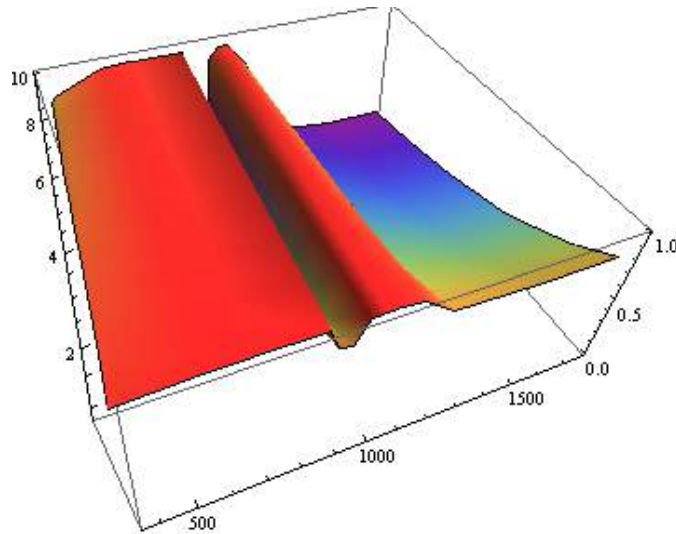
Phase One – Filtering and Thermal Distribution



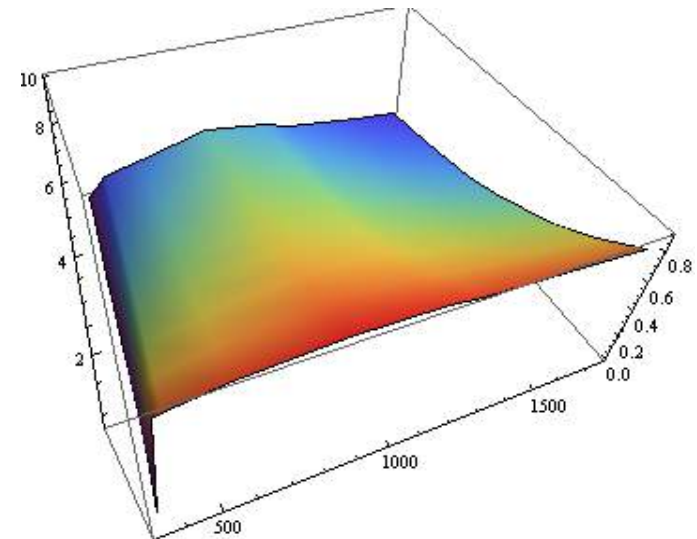
We remove the heat from the light **BEFORE** it hits the PV thus we can go to higher levels of concentration (w/o the costs of cooling PV etc.)

Phase One – Theory

UV FUSED SILICA



BOROSILICATE



Either one can yield a (lower bound) photovoltaic improvement factor, “PVI”, of more than 2.

Improvement Over Concentration



<u>Spot Diameter</u>	<u>w/ TDE</u>	<u>w/o TDE</u>
8cm	.8	.15
25cm	.3	.18
36cm	.14	.194

~4x via TDE & CONC.

But w/o conc. only see TDE loss

Seeing Temp ≈ counter effect of concentration

Hand made bundle

~ same incident area (actually TDE area LESS than hole)
same illumination area

So: 1W vs 4W

Fixed cost (neglecting TDE)
More power

OR

1 2 vs 1
 3 4

Fixed power Less cost

NOTE: can't count both at same time

NOTE ALSO: it doesn't matter what size "" is
scale it as big as you want – we get 4x that in cost/kW (if TDE cost negligible)

Improvement Over Full Sky Ambient PV

Ambient PV

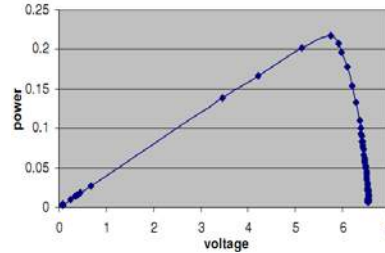
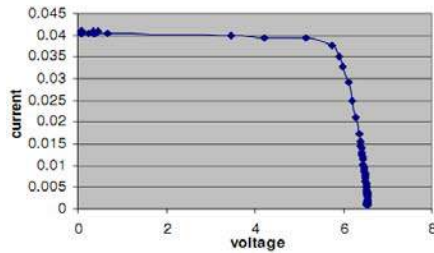
Production bundle, 6 ft. long!

Very cheap, but lots of loss

\$.02/m

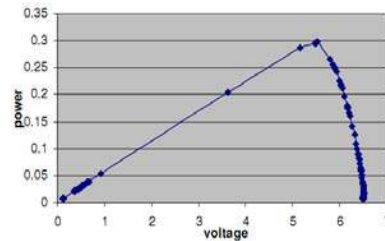
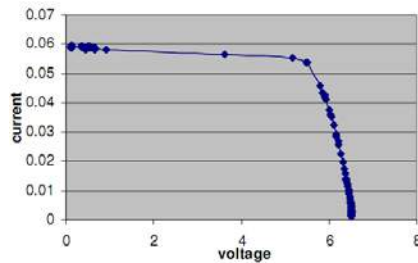
1 dB/m

d=21



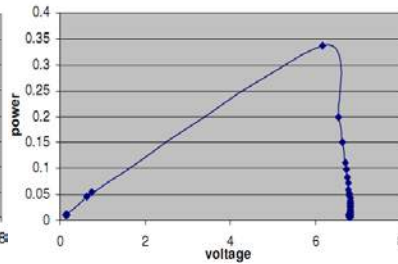
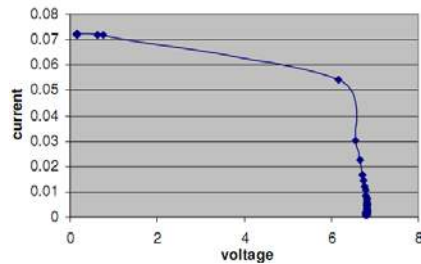
3.61 X ambient

d=22



4.96 X ambient

d=23

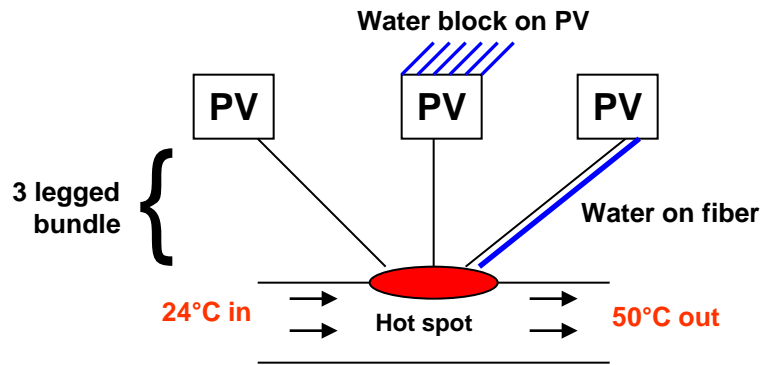


5.6(plus) X ambient
(missed peak)

Demonstration of Heat Transfer and Thermal Distribution Advantages

Water cooling hot spot @ fiber bundle input:

24°C in → 50°C out



<u>d</u>	<u>Peak power</u>	<u>Peak power</u>	<u>Peak power</u>
23	.27	.24	.81
22	.21	.21	.51
21	.18	.15	.27

{ Cooling PV after fiber not much effect
 ↑ Cooling fiber definite effect

Thus, fiber IS conducting heat into water

MANUFACTURABILITY

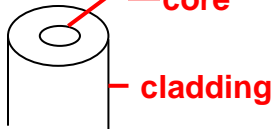
- losses

$$P_{each} = \left[\underbrace{0.95}_{\text{@ 5\% reflected}} \underbrace{(1-L)}_{\text{lens}} \underbrace{(1-l)}_{\text{fiber}} f_1 f_2 f_3 \right] \frac{1kW}{m^2} \eta_T A_{lens} \frac{1}{N} \text{ \# of legs}$$

MANUFACTURABILITY

- losses

$$P_{each} = \left[\overbrace{0.95}^{\text{@ 5\% reflected}} \overbrace{(1-L)}^{\text{lens}} \overbrace{(1-l)}^{\text{fiber}} f_1 f_2 f_3 \right] \frac{1kW}{m^2} \eta_T A_{lens} \frac{1}{N} \text{ \# of legs}$$

$$f_1 \text{ is } \left(\frac{r_c}{r_{clad}} \right)^2$$


- core
- cladding

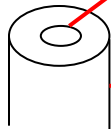
Note: Not relevant for cladding pumped fiber amp. in "phase 2" models

MANUFACTURABILITY

- losses

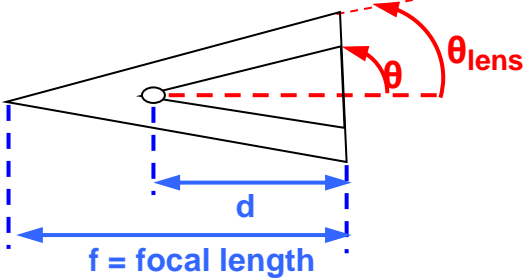
$$P_{each} = \left[\underbrace{0.95}_{\text{@ 5\% reflected}} \underbrace{(1-L)}_{\text{lens}} \underbrace{(1-l)}_{\text{fiber}} f_1 f_2 f_3 \right] \frac{1kW}{m^2} \eta_T A_{lens} \frac{1}{N} \text{ \# of legs}$$

PV efficiency @ temp. T

$$f_1 \text{ is } \left(\frac{r_c}{r_{clad}} \right)^2$$


- core
- cladding

Note: Not relevant for cladding pumped fiber amp. in "phase 2" models

$$f_2 \text{ is } \frac{\pi (d NA)^2}{A_{lens}}$$


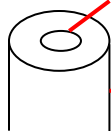
f = focal length

MANUFACTURABILITY

- losses

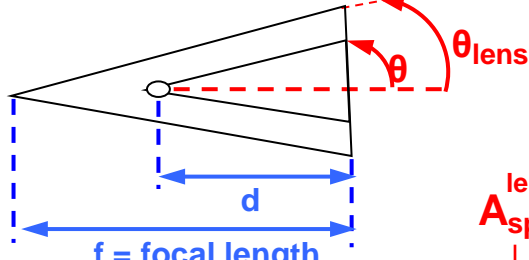
$$P_{each} = \left[\underbrace{0.95}_{\text{@ 5\% reflected}} \underbrace{(1-L)}_{\text{lens}} \underbrace{(1-l)}_{\text{fiber}} f_1 f_2 f_3 \right] \frac{1kW}{m^2} \eta_T A_{lens} \frac{1}{N} \text{ \# of legs}$$

PV efficiency @ temp. T

$$f_1 \text{ is } \left(\frac{r_c}{r_{clad}} \right)^2$$


-core
-cladding

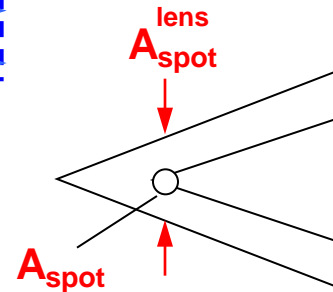
Note: Not relevant for cladding pumped fiber amp. in "phase 2" models

$$f_2 \text{ is } \frac{\pi (d NA)^2}{A_{lens}}$$


θ_{lens}
d
f = focal length

and $f_3 \text{ is } \frac{A_{spot}}{A_{spot}^{lens}} = \frac{A_{spot}}{A_{lens}} C$

where



[Note: $f_3 \rightarrow 1$ via: high temp epoxy; smaller lens; or bigger bundle]
else: C can compensate for other losses

Note:

w/o fiber (standard CPV) $f_1 = 1 = f_2$ BUT η_T plummets @ .5% per °C
w/ fiber η_T is ~ independent of concentration

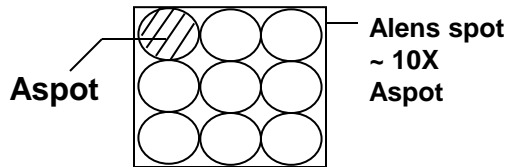
Manufacturability

Losses in demo

- $f_2 = 1$ $\theta_{\text{lens}} \sim 20^\circ$
 $\theta_{\text{fiber}} \sim 30^\circ$
 $NA > .34$

- $f_1 = .79$

- Large lens $f_3 \sim .1$



- Smaller lens $f_3 \sim .25$

Bottom line $f_2 \Rightarrow$ no problem
 $f_3 \Rightarrow$ easily scaled

f_1 and fiber loss CAN be improved BUT

Simple Refinements & Scalability

none needed

But to use high power fiber w/ $NA = .1$ one simply needs longer f lens

Claddings can be removed and cores fused at bundle end so $f_1 = 1$ is achievable

Put in more bundles

Use bigger bundle

Use high temperature epoxy (already sent to CA)

ditto

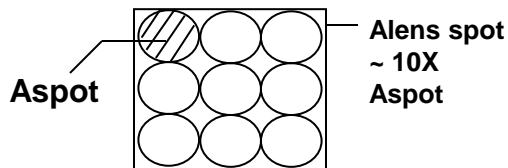
Manufacturability

Losses in demo

- $f_2 = 1$ $\theta_{\text{lens}} \sim 20^\circ$
 $\theta_{\text{fiber}} \sim 30^\circ$
 $NA > .34$

- $f_1 = .79$

- Large lens $f_3 \sim .1$



- Smaller lens $f_3 \sim .25$

Bottom line $f_2 \Rightarrow$ no problem
 $f_3 \Rightarrow$ easily scaled

f_1 and fiber loss CAN be improved BUT

to turn our power improvement factors into cost/kW improvement factors soon we need a way to *quickly* reduce the cost of the optical waveguide.

Simple Refinements & Scalability

none needed

But to use high power fiber w/ $NA = .1$ one simply needs longer f lens

Claddings can be removed and cores fused at bundle end so $f_1 = 1$ is achievable

Put in more bundles

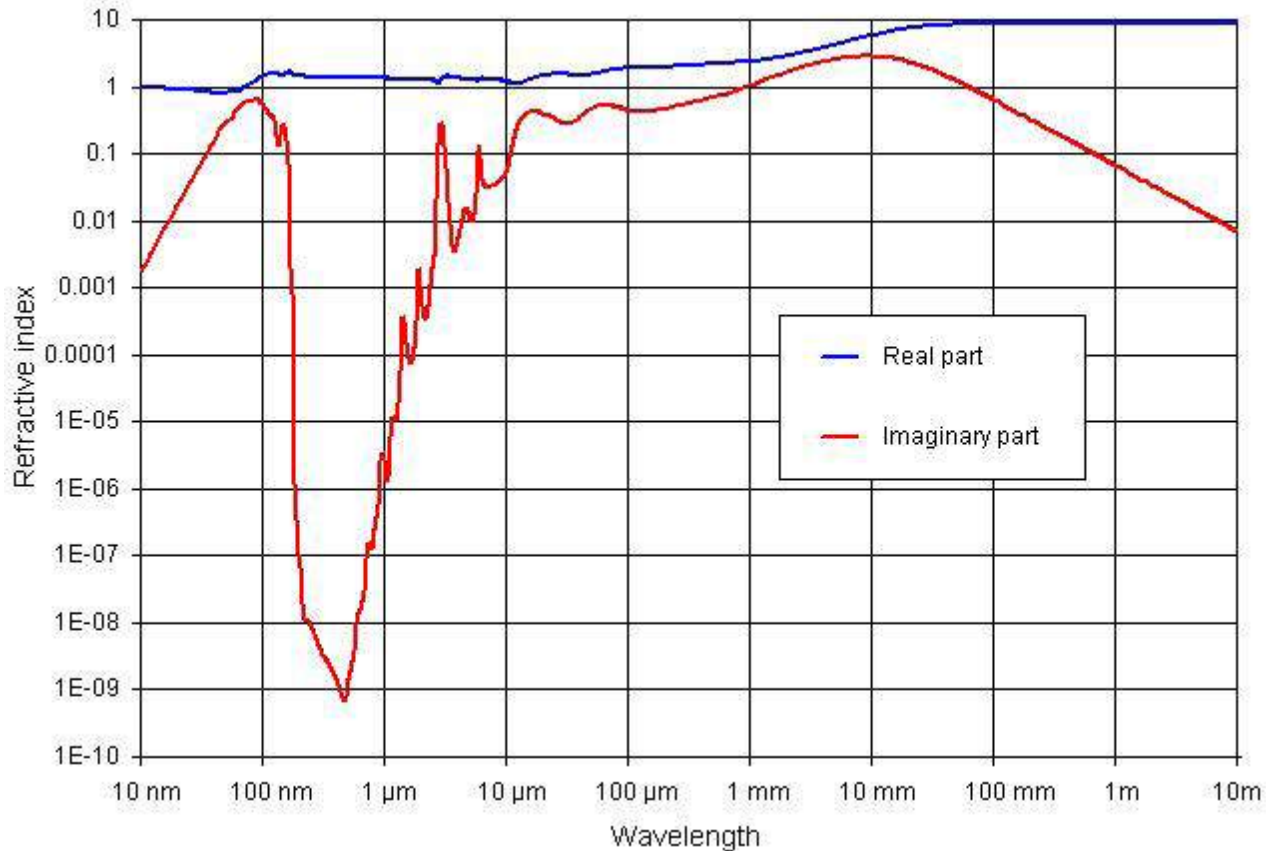
Use bigger bundle

Use high temperature epoxy (already sent to CA)

ditto

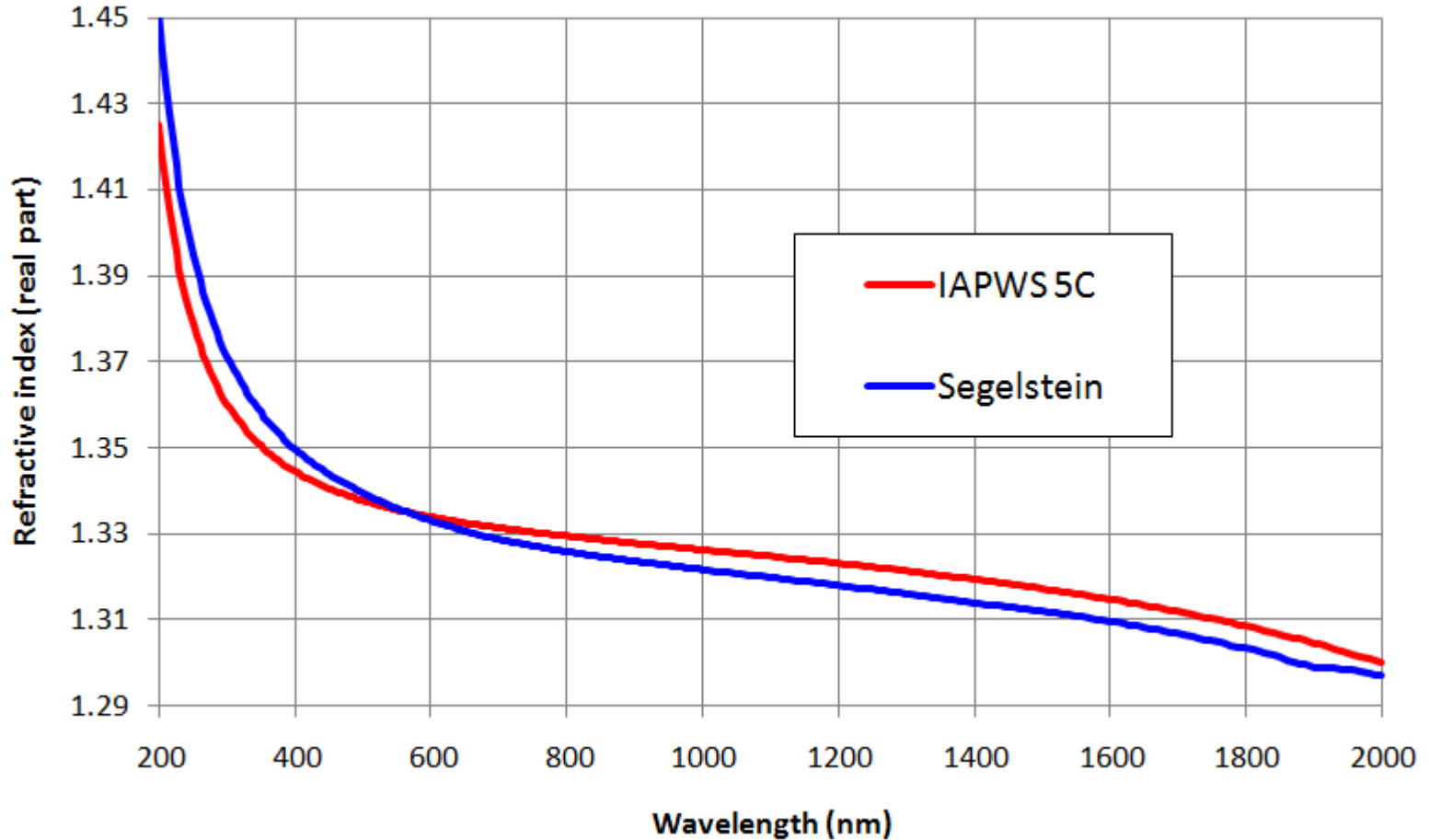
Water Based Rod-Waveguide

index of refraction for liquid water (red=absorption)



Good attenuation of IR, but passes some UV => PVI > ~ 1.6

Water Based Rod-Waveguide



Chromatic aberration can filter the UV, but by itself => PVI > ~ 1.3

Water Based Rod-Waveguide

- Put the 2 effects together and \Rightarrow $PVI > \sim 2.03$!!

Water Based Rod-Waveguide

- Put the 2 effects together and \Rightarrow PVI $>$ \sim 2.03 !!
- Recent experimental observation: PVI of over 5 !!

Water Based Rod-Waveguide

- Put the 2 effects together and \Rightarrow $PVI > \sim 2.03$!!
- Recent experimental observation: PVI of over 5 !!
- Incremental cost = almost negligible (got water ?)

Water Based Rod-Waveguide

- Put the 2 effects together and \Rightarrow $PVI > \sim 2.03$!!
- Recent experimental observation: PVI of over 5 !!
- Incremental cost = almost negligible (got water ?)
- DOE's 10 year goal for photovoltaics is a factor of 2

Water Based Rod-Waveguide

- Put the 2 effects together and \Rightarrow PVI $>$ \sim 2.03 !!
- Recent experimental observation: PVI of over 5 !!
- Incremental cost = almost negligible (got water ?)
- DOE's 10 year goal for photovoltaics is a factor of 2
- Looks like we've got it 😊

Water Based Rod-Waveguide

- Put the 2 effects together and => $PVI > \sim 2.03$!!
- Recent experimental observation: PVI of over 5 !!
- Incremental cost = almost negligible (got water ?)
- DOE's 10 year goal for photovoltaics is a factor of 2
- Looks like we've got it 😊
- NEXT:
- Waveguide-filtered concentrators with advanced PVs !!